

Caltrans Metro Project Delivery  
Workshop – January 12<sup>th</sup>, 2023

# Agenda

1. Introductions - Steve Novotny

2. FTIP Update Presentation – Wellvin Carpio,  
Metro

3. Implementation Update – Tou  
Vang/Ferdinand Batatan/Sujaya Kalainesan,  
Caltrans

4. Critical Dates – Peter Anderson/Robert  
Kroepfl, Caltrans

5. Training Opportunities – Robert Wong,  
Caltrans

6. ATP Project Delivery – Cory Wilkerson,  
SCAG

7. HSIP – Delivery and New Applications –  
Dale Benson/Chiu Liu, Caltrans

8. Closing – Patricia Chen, Metro



Please save [Communityrelations@metro.net](mailto:Communityrelations@metro.net) to your safe sender's list.

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# **FEDERAL TRANSPORTATION IMPROVEMENT PROGRAM (FTIP)**

January 12, 2023



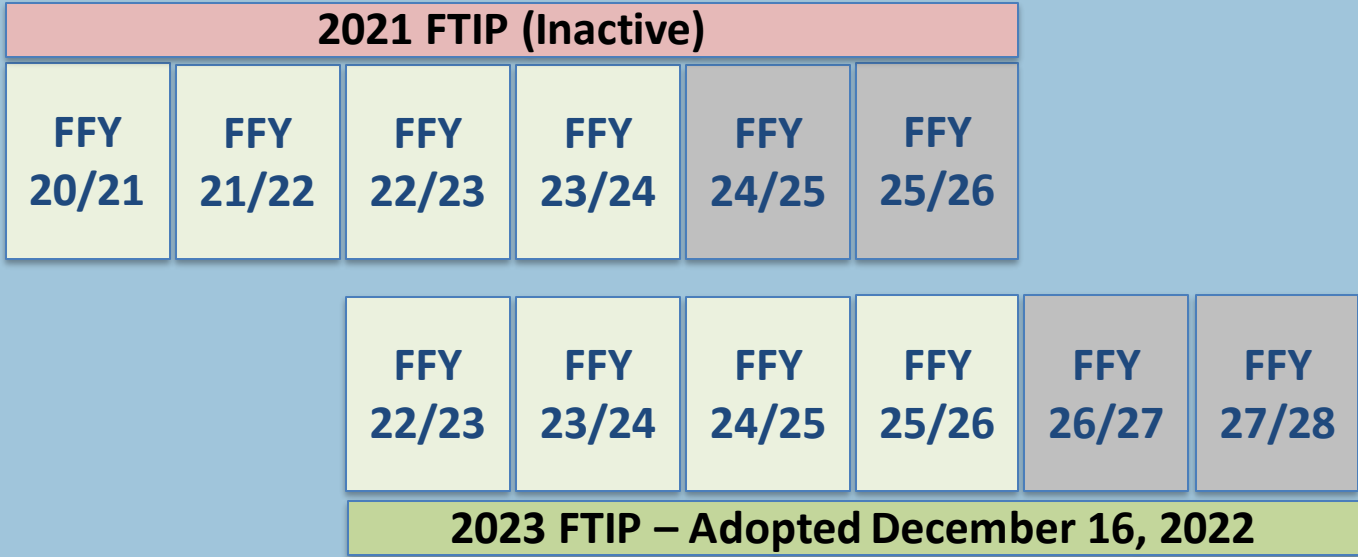
# Transportation Improvement Program

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- Federally required programming document covering a four-year period
- Adopted biennially
- List near term transportation projects & programs



# Transportation Improvement Program



- Financially Constraint
- Information Only



## Why Include Projects in the FTIP?

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- Receive environmental approval and permits
- Obtain FTA and FHWA grant approval (discretionary formula)
  - Obligate funds
  - Pre-award authority
- Implement studies and projects
- Achieve on-time project delivery
- Get reimbursed for eligible expenses



# 2023 FTIP Amendment Criteria

	ADMINISTRATIVE	FORMAL
CRITERIA	Existing projects	New projects
	Minor changes	Major changes
	Cost Increase <50%	Cost Increase >50% or in specific phase
	Cost Increase <\$20 million	Cost Increase >=\$20 million
	Funding Source/Year changes	Scope changes
	Lead agency change	Project cancellation
	Cost decrease without deleting phase	Adding/Deleting phase
		Moving prior funds to quad years
		Change project completion Date
Approving Agencies	Metro, SCAG (approx. 30 days)	Metro, SCAG, Caltrans, FTA/FHWA (approx. 90 days)



# Common Missing Items

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- Incomplete project description
- Fund source backup documentation
- Local match
- Programming beyond completion date
- Performance Metrics

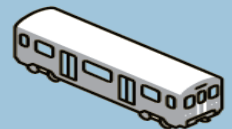


# 2023 FTIP Amendment Schedule

Amendment #	Amendment Type	Due to METRO	Estimated Approval
23-04	Administrative Modification	CLOSED	February 2023
23-05	Formal Amendment	CLOSED	April 2023
23-06	Administrative Modification	January 17, 2023	March 2023
23-07	Administrative Modification	February 14, 2023	April 2023
23-08	Formal Amendment	February 21, 2023	June 2023
23-09	Administrative Modification	March 14, 2023	May 2023
23-10	Administrative Modification	April 18, 2023	June 2023
23-11	Formal Amendment	April 25, 2023	August 2023



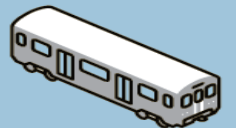
*FTIP Amendment schedule can also be found in ProgramMetro, under “News and Reference Materials”*



# FFY 2022/23 Obligation Plan

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- Federal funds your agency anticipates to obligate from March 1 through September 30, 2023
  - RSTP/STBGP
  - STPL
  - CRP (Carbon Reduction Program)
  - CMAQ
  - HSIP
- Planned obligations must submit RFA to Caltrans Local District 7 no later than June 30, 2023
- Template and project listing will be sent to ProgramMetro users

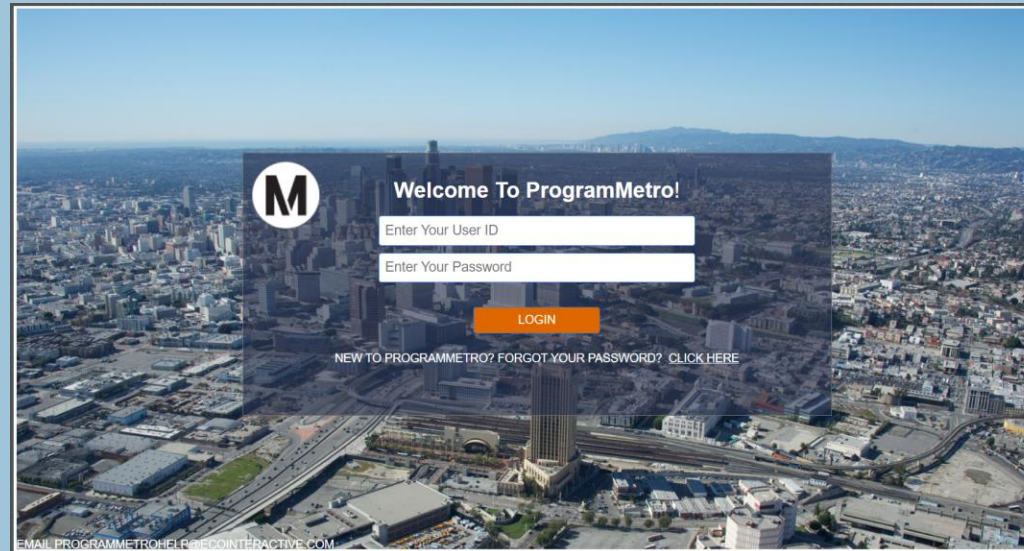


# ProgramMetro Log-In

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- How to access ProgramMetro?
  - Register account
  - Users must be verified by their supervisors through Verification Letter
  - Verification Letter template can be obtained from Metro staff via email
  - Access granted after approval of Verification Letter

<https://programmetro.ecointeractive.com/secure2/login>



# Metro Contacts and Questions

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NAME	TITLE	PHONE	EMAIL
Ashad Hamideh	Senior Director	(213) 922-5539	<a href="mailto:HamidehA@metro.net">HamidehA@metro.net</a>
Nancy Marroquin	Senior Manager	(213) 418-3086	<a href="mailto:MarroquinN@metro.net">MarroquinN@metro.net</a>
Michael Richmai	Senior Manager	(213) 922-2558	<a href="mailto:RichmaiM@metro.net">RichmaiM@metro.net</a>
Wellvin Carpio	Transp. Associate II	(213) 418-3103	<a href="mailto:CarpioW@metro.net">CarpioW@metro.net</a>

<https://scag.ca.gov/sites/main/files/file-attachments/proposed-f2023-ftip-guidelines.pdf>



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# HQ Implementation Updates

January 12, 2023

Tou Vang, P.E.  
HQ Area Engineer



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# Topics

- Buy America Requirements of the Infrastructure Investment and Jobs Act (IIJA)



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# New Buy America Requirements

- On November 10, 2022, the Temporary Waiver of Buy America Requirements for Construction Materials expired.
- All projects authorized on or after November 10, 2022, must comply with the new Buy America requirements



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# New Buy America Requirements

- The following materials must be produced in the United States:
  - All steel and iron products
  - Construction materials



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# New Buy America Requirements

- Definition of Construction Materials:
  - An article, material, or supply that is considered or consists primarily of: non-ferrous metals, plastic and polymer-based products (including polyvinylchloride, composite building materials, and polymers used in fiber optic cables), glass (including optic glass), lumber, or dry wall.



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# New Buy America Requirements

- How to comply (option 1):
  - Local agencies that adopt Caltrans Standard Special Provisions (SSPs) must include a newly updated **SSP Section 6-1.04 BUY AMERICA** in their contracts. Please contact [hq.design.webmaster@dot.ca.gov](mailto:hq.design.webmaster@dot.ca.gov) for SSP.



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# New Buy America Requirements

- How to comply (option 2):
  - Local agencies that do not adopt Caltrans SSPs can use the language in the Local Assistance Procedures Manual (LAPM) **Exhibit 12-G, Section 8** as guidance to update their current Buy America specifications.



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# New Buy America Requirements

- Local agencies should review following documents to ensure they will comply with the new Buy America requirements:
  - Office of Management and Budget Memorandum 22-11 (OMB M-22-11)
  - Division of Local Assistance Office Bulletin 22-07 (DLA OB 22-07)



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# References:

- **OMB-Memorandum-M-22-11**: <https://www.whitehouse.gov/wp-content/uploads/2022/04/M-22-11.pdf>
- **DLA OB 22-07**: <https://dot.ca.gov/-/media/dot-media/programs/local-assistance/documents/ob/2022/ob22-07.pdf>
- **CPD 22-13 Attachment 1 (FAQ)**: <https://dot.ca.gov/-/media/dot-media/programs/construction/documents/policies-procedures-publications/cpd/cpd22-13-attachment-1-a11y.pdf>



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**QUESTIONS?**



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# Critical Dates

January 12, 2023



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# Topics

- Project End Date (PED)
- Inactive Projects
- Reversion Date/Cooperative Work Agreement
- Timely Use of Funds



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# What is a Critical Date?

A critical date is one that has been established in Federal and/or State Regulations

Failure to meet a certain Critical Date may lead to a delay or loss of Federal and/or State funding



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# Critical Dates

## Project End Date (PED)



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# Project End Date (PED)

Period of Performance: The time frame during which eligible federal project cost can be incurred

- Starts: First authorization (E-76) is approved by FHWA
- Ends: When agency reaches the estimated PED of the work phase

Eligible costs incurred within the Period of Performance are eligible for reimbursement



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# Establishing the PED

- The Estimated Phase Completion Date is required to be shown on the LAPM 3-A with every Request of Authorization.
- The PED is established **by adding 12 months** to the Estimated Phase Completion Date for potential delays



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# Establishing the PED

## The Estimated Phase Completion

- PE and R/W: The completion of the phase is the anticipated date of construction advertisement
- Construction: The completion is the anticipated date of the board/council contract acceptance



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# Updating the PED

- Upon adding future phases of work, the PED must also be revised
- The PED should be monitored
  - If needed, the Local Agency may request that the PED revised
  - Any costs incurred after the PED are NOT eligible for federal reimbursement



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# Updating the PED

If PED is revised after the authorized PED has lapsed, costs incurred between the expiration and the date FHWA authorizes the revised PED are not eligible for federal reimbursement.

- FHWA requires invoicing to occur within 120 days after the PED.



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# PED

## Authorization Summary

AMENDMENT MODIFICATION SUMMARY - (E-76) CALIFORNIA DEPARTMENT OF TRANSPORTATION

FEDERAL AID PROGRAM  
DLA LOCATOR: 01-HUM-0-CNTY  
PREFIX: BRLS  
PROJECT NO: 5904(164)  
SEQ NO: 2  
STATE PROJ NO: 0117000091L-N  
ALT. PROJ NO:  
AGENCY: HUMBOLDT  
ROUTE:  
DISASTER NO:  
TIP DATA  
MPO: NON-MPO  
FSTIP YR: 2021  
STIP REF:  
FSTIP ID NO: 230-0000-0031

PROG CODE	LINE NO	AMOUNT
Z001	30	1
Z001	31	1

FUNDING SUMMARY

PHASE	PREV. OBLIG	THIS REQUEST	SUBTOTAL	
PE				
	PE PREV. OBLIG	PE THIS REQUEST	PE SUBTOTAL	
R/W				
	R/W PREV. OBLIG	R/W THIS REQUEST	R/W SUBTOTAL	
CON				
	CON PREV. OBLIG	CON THIS REQUEST	CON SUBTOTAL	
OTH				
	OTH PREV. OBLIGATION	OTH THIS REQUEST	OTH SUBTOTAL	
TOTAL:		\$53,632.00	\$47,480.00	\$0.00

STATE REMARKS

07/26/2018 Revegetation mitigation monitoring project under BRLS-5904(127)  
09/11/2018 SEQ 1 - CON/CE authorization (\$47,480 Z001). NEPA revalidated 06/01/2018.  
06/02/2021 Seq 02: To correct FADS to match District of Local Assistance Finance Letter dated 10/02/2018, correcting Seq 01.  
06/03/2021 SEQ 2: SEQ1 incorrectly lumped CON and CE together. This sequence splits them apart. CE authorization date is the same as the CON authorization date 09/16/2018.

FEDERAL REMARKS

AUTHORIZATION

AUTHORIZATION TO PROCEED WITH REQUEST: CON  
FOR CORRECT PHASES  
DOCUMENT TYPE: AMDD

PREPARED IN FADS BY: MUELLER, MARK  
REVIEWED IN FADS BY: HOANG, KHA B  
SUBMITTED IN FADS BY: FOON, ROSS  
PROCESSED IN FADS BY: SIGNATURE, NOT\_REQUIRED  
E-76 AUTHORIZED DATE IN FMS BY: ROBBIE SCOTT

ON 2021-06-02 441-3977  
ON 2021-06-03 653-6460  
ON 2021-06-07 FOR CALTRANS  
ON 2021-06-07 FOR FHWA  
ON 2021-06-16 09:14:54.0

PREV AUTH / AGREE DATES:  
PE:  
R/W:  
CON:  
SPR:  
MCS:  
OTH:

**PROJECT END DATE (PED): 01/01/2025**



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# PED – LP2000 Finance Letter

<p>DEPARTMENT OF TRANSPORTATION</p> <p style="text-align: center;">FINANCE LETTER</p> <p>To: Humboldt County</p>	<p>Date: <u>06/03/2021</u>          D_CO_RT: <u>01-HUM-0-CR</u>          Project No: <u>BRLS-5904(164)</u>  <del>Adv Project Id: <u>0117000091</u></del>          Project End Date: <u>01/01/2025</u></p>
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FINANCE ITEMS	PRO RATA OR LUMP SUM	TOTAL COST OF WORK	FEDERAL PART. COST	FED. REIMB %	FEDERAL Z001	LOCAL	
Construction	Pro Rata	\$46,800.00	\$46,800.00	88.53%	\$41,432.00	\$5,368.00	\$0.00
Agency Construction Engineering	Pro Rata	\$6,832.00	\$6,832.00	88.53%	\$6,048.00	\$784.00	\$0.00
Totals:		\$53,632.00	\$53,632.00	0.00%	\$47,480.00	\$6,152.00	\$0.00

Participation Ratio: 100.00%

This Finance Letter was created based on specific financial information provided by the responsible local agency. The following encumbrance history is prepared by Local Assistance Accounting Office and is provided here for local agency's information and action.

Signature: \_\_\_\_\_

Title: Sr. HQ Area Engineer

For questions regarding finance letter, contact:  
 Printed Name : Ross Foon  
 Telephone No: 916-653-8431



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# PED – Dashboard



<https://dot.ca.gov/programs/local-assistance/projects/projects-with-expiring-end-dates>

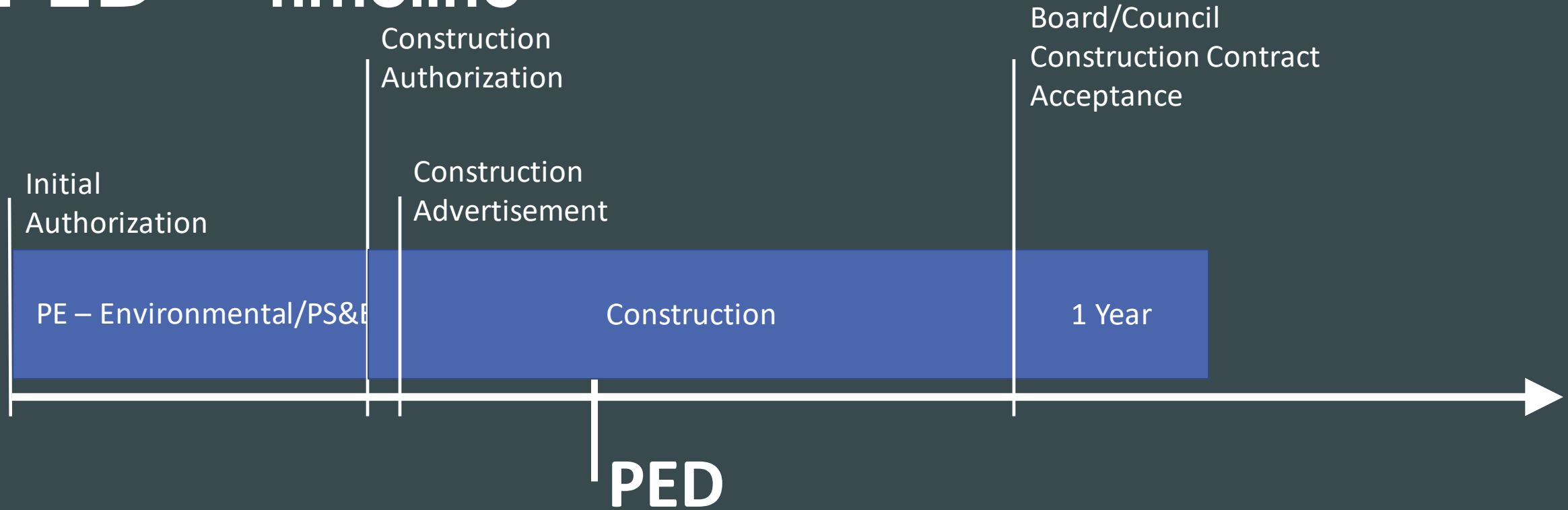


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# PED – Timeline



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# Question

If work occurs beyond the Project End Date (PED), is it eligible for Federal Reimbursement?

# YES NO NO?



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# Critical Dates

## Inactive Projects

The nationwide target for inactive unexpended balance is 2%.



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# Inactive Projects

- The Department considers a project inactive if no payment activity has occurred for 6 months (Master Agreement language).
- Federal Highways Administration (FHWA) considers a project inactive if no payment activity has occurred for 12 months or more.



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# Inactive Projects

If a project is inactive for 6 months and the Department does not receive an invoice in the next 3 months (total of 9 months without invoicing), the local agency will need to provide proper justification for inactivity (causes beyond the control of the agency) and establish a time frame for invoicing

<https://dot.ca.gov/programs/local-assistance/projects/inactive-projects>



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# Inactive Projects

- Project obligations could be removed by FHWA if the Local Agency does not provide proper justification or invoice within the specified and agreed upon timeframe
- Future obligations may be delayed if prior phases are inactive

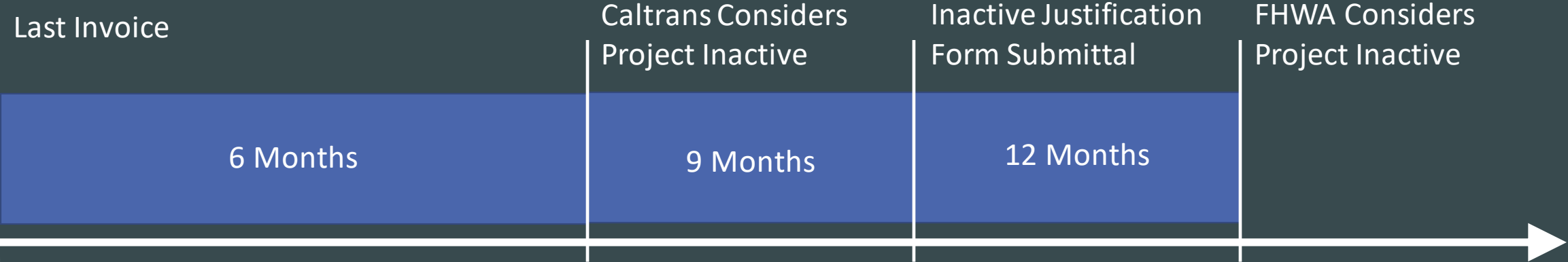


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# Inactive Projects - Timeline



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# Question

How many months after the last invoice does FHWA consider a project as Inactive?

# 12 Months



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# Critical Dates

## Reversion Date and Cooperative Work Agreement (CWA)



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# Reversion Date

- State and Federal funds that have been encumbered for a project are available for distribution for a limited period of time.
- The Reversion Date is approximately 5-6 years after encumbrance
  - Projects encumbered in federal fiscal year 2022/2023 will have a Reversion Date of June 30, 2028



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# Reversion Date

For projects allocated by the CTC, the Reversion Date is established based on the Budget year from which the allocation is made against.

- Projects budget year 2021/2022 will have a Reversion Date of June 30, 2027



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# Reversion Date

A project may have multiple Reversion Dates

- Each individual funding action will have its own Reversion Date.



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# Reversion Date – CTC Vote Box

Project # Allocation Amount Recipient <u>RTPA/CTC</u> District-County	Project Title Location Project Description	PPNO Program/Year Phase Prgm'd Amount Project ID	Budget Year Item #	Fund Type Program Code	Amount by Fund Type on FP-22-26											
2.5c.(5)	Locally-Administered STIP Project off the State Highway System (Advancement FY 25-26)															
1 \$3,650,000 City of Rancho Cordova <u>SACOG</u> 03-Sacramento	<b>Mather Field Road Rehabilitation.</b> In the City of Rancho Cordova, on Mather Field Road between Folsom Boulevard and International Drive: rehabilitate the roadway, Class II bicycle lanes, medians improvements, install & replace sidewalks, improve ADA access & ramps, striping and traffic signal upgrades.	03-5603 RIP/25-26 CONST \$3,650,000 0322000109	2021-22 101.0800.621		650,000											
	<table border="0"> <thead> <tr> <th><u>Outputs</u></th> <th><u>Unit</u></th> <th><u>Total</u></th> </tr> </thead> <tbody> <tr> <td>Repair/Upgrade curb ramp</td> <td>Each</td> <td>8</td> </tr> <tr> <td>Software and hardware sytem(s)</td> <td>Each</td> <td>2</td> </tr> <tr> <td>Local road - rehabilitated</td> <td>Miles</td> <td>5</td> </tr> </tbody> </table> <p>CEQA - CE, 12/16/2021 NEPA - CE, 07/07/2022</p> <p>Right of Way Certification: 07/22/2022</p>	<u>Outputs</u>	<u>Unit</u>	<u>Total</u>	Repair/Upgrade curb ramp	Each	8	Software and hardware sytem(s)	Each	2	Local road - rehabilitated	Miles	5			
<u>Outputs</u>	<u>Unit</u>	<u>Total</u>														
Repair/Upgrade curb ramp	Each	8														
Software and hardware sytem(s)	Each	2														
Local road - rehabilitated	Miles	5														



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# Reversion Date – LP2000 Finance Letter

DEPARTMENT OF TRANSPORTATION      FINANCE LETTER      Date: 12/09/2022      EA No:

D\_CO\_RT: 03-SAC-0-RCDV  
Project No: STPL-5482(047)  
Adv. Project Id: 0322000109  
Project End Date: 08/31/2024

To: City of Rancho Cordova

FINANCE ITEMS	PRO RATA OR LUMP SUM	TOTAL COST OF WORK	FEDERAL PART. COST	FED. REIMB %	FEDERAL	FEDERAL STIP-RIP	LOCAL
					Z23E	Y240	
Agency Preliminary Engineering	Lump Sum	\$569,000.00	\$569,000.00	17.57%	\$100,000.00	\$0.00	\$469,000.00
Construction	Lump Sum	\$4,600,000.00	\$4,586,000.00	77.76%	\$0.00	\$3,566,000.00	\$1,034,000.00
Agency Construction Engineering	Lump Sum	\$94,885.00	\$94,885.00	88.53%	\$0.00	\$84,000.00	\$10,885.00
Contract Items:		\$3,999,278.5					
Contingencies:		\$600,721.5					
Total:		\$4,600,000					
Totals:		\$5,263,885.00	\$5,249,885.00	0.00%	\$100,000.00	\$3,650,000.00	\$1,513,885.00

Participation Ratio: 99.73%      This Finance Letter was created based on specific financial information provided by the responsible local agency. The following encumbrance history is prepared by Local Assistance Accounting Office and is provided here for local agency's information and action.

ACCOUNTING INFORMATION								STPL-5482(047)		Cooperative Work Agreement	
ADV. PROJECT ID	APPROP. UNIT	STATE PROG.	FED/ STATE	ENCUMBRANCE AMOUNT	APPROP YEAR	EXPENDITURE AMOUNT	ENCUMBRANCE BALANCE	REVERSION DATE*	APPROVED AMOUNT	EXPIRATION DATE	
0322000109	22102F	2030010810	F	\$100,000.00	2122	\$0.00	\$100,000.00	06/30/2027			
0322000109	22101F	2030600621	F	\$3,650,000.00	2122	\$0.00	\$3,650,000.00	06/30/2027			



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# CWA

All project funds not liquidated prior to the Reversion Date will revert unless an executed Cooperative Work Agreement (CWA) extending this date is requested by the Local Agency and approved by the California Department of Finance

- A CWA approval will add up to 2 additional year for funds to be expended



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# CWA

Invoices need to be submitted by April 1<sup>st</sup> prior to the Reversion Date to allow enough time for review and payment

- Invoices must be paid before the Reversion Date



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# Reversion Date/CWA – Timeline



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# Question

Where is the best place to verify a project's Reversion Date?

# The LP2000 Finance Letter



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# Critical Dates

## Timely Use of Funds



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# Timely Use of Funds

- Funds are available only until the end of the State fiscal year (June 30<sup>th</sup>) identified in the programming document.
- Whenever programmed funds are not allocated within the deadline, the project programming will be deleted.



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# Timely Use of Funds

- Funds allocated for PA&ED, PS&E, R/W or PPM must be expended by the end of the second fiscal year following the fiscal year in which the funds were allocated.
- Local agency must submit invoices for each allocated phase within 180 days of the expenditure deadline.



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# Timely Use of Funds – Allocation



<https://dot.ca.gov/-/media/dot-media/programs/financial-programming/documents/2023-prep-calendar-a11y.pdf>

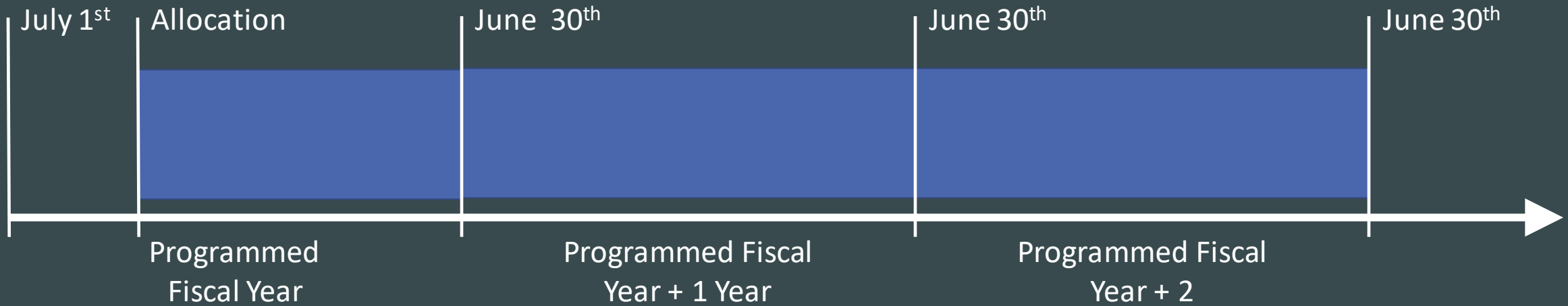


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# Timely Use of Funds – Project Development, PPM, Right of Way



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# Timely Use of Funds

- Funds allocated for construction must be awarded within 6 months of allocation
- After award, the Local Agency or Caltrans has up to 36 months to complete the contract
- Local agency must submit the final invoice within 180 days of project completion.



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# Concurrent Allocations/Authorizations

- Federal Allocations will require Authorization before commencing with reimbursable work.
- Simultaneous submittals of Allocation and Authorization requests is encouraged
- Reduces any impact to Timely Use of Funds Deadlines waiting for Authorization.



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# Timely Use of Funds – Con Award



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# Timely Use of Funds – Con Completion



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# Timely Use of Funds - Extensions

- The CTC may extend a delivery deadline, upon request of the Local Agency
  - No deadline may be extended more than once.
- Each program and project component has its own deadline and there are separate deadlines for:
  - Allocations
  - Expenditures – Project Development, PPM, R/W
  - Contract award
  - Project completion



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# Timely Use of Funds - Extensions

- STIP Projects
  - The CTC may grant an extension, up to 20 months, for each deadline
- ATP/SB1 Programs
  - The CTC may approve an extension, up to 12 months. There are two exceptions:
    - ATP -- Allocation may receive an extension up to 20 months
    - LPP/TCEP/SCCP – Project completion may receive an extension up to 20 months



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# Timely Use of Funds/Reversion Date

The Timely Use of Funds provisions for STIP/ATP/SB1 programs are shorter and more restrictive than the Budget authority timeline

- If an extension is granted for a project that goes beyond the Reversion Date, a CWA time extension will also be needed to allow for these expenditures



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# Timely Use of Funds - Rules

Program	Allocation	Project Development	Construction Award	Construction Completion
STIP ATP SB1	Allocated by CTC in the year programmed	Expenditures must occur by end of 2 <sup>nd</sup> FY following allocation date	Projects must be awarded within 6 months from construction allocation	Projects must be completed within 36 months of Award

Invoicing must be submitted within 180 days of the expenditure or completion deadline.



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# Timely Use of Funds - Extensions

Phase	STIP	ATP	SB1 Programs
Allocation	20 Months	20 Months	12 Months
Expenditures	20 Months	12 Months	12 Months
Con Award	20 Months	12 Months	12 Months
Con Completion	20 Months	12 Months	20 Months



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# Question

Without an Allocation Extension, a project must receive its allocation within the Fiscal Year shown in the Programming Document.

# True ~~or~~ False



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# Resources

DLA Web Site: <https://dot.ca.gov/programs/local-assistance>

- LAPM
- LAPG
- Program Guidelines



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Caltrans District 7, Los Angeles and Ventura County  
Office of Local Assistance  
Office Chief – Steve Novotny

Robert Y Wong  
Area Supervisor  
[Robert.Y.Wong@dot.ca.gov](mailto:Robert.Y.Wong@dot.ca.gov)  
213.269.1798  
January 12, 2023

# Local Assistance Training News

# Local Assistance Training News

1. U.C. Berkeley Tech Transfer ITS (Institute of Transportation Studies)  
<https://www.techtransfer.Berkeley.edu>  
U.C. Berkeley Safe TREC ( Safe Transportation Research and Education Center)  
<https://safetrec.Berkeley.edu>  
California Walk  
<https://calwalks.org>
2. Safe Route National Partnership  
<https://saferoutespartnership.org>
3. LTAP New upcoming training website  
<https://caltap.org>  
LTAP transition website <https://ca-ctap.org>
4. Local Assistance Blog  
<http://localassistanceblog.com>

# U.C. Berkeley Tech Transfer

## Transportation Engineering and Planning Training

- **VMT Metrics Policy Application & Technical Analysis for SB 743 Compliance (TE-60) Just added!**

February 8-9, 2023 :: Ontario :: \$395 Public Agency Fee, \$790 Standard Fee  
Instructors: Ronald Milam and Antero Rivasplata

- **Transportation Planning Fundamentals for California Streets (PL-14) Just added!**

April 19-21, 2023 :: Irvine :: \$495 Public Agency Fee, \$990 Standard Fee  
Instructors: Richard Lee, Rafat Raie, and Charles Rivasplata

### Online Classes

- **Online training courses are continuing as scheduled without interruption. T**

# U.C. Berkeley Tech Transfer

## **Pavement Training**

### **Fundamentals of Inspection Practice**

January 25-26, 2023 :: Sacramento :: \$375 Public Agency Fee, \$750 Standard Fee  
Instructor: Joseph Goldhammer and Larry Horsman

### **Pavement Sustainability (CCA-02)**

February 13-15, 2023 :: M and W from 2:00 PM to 4:00 PM :: Online  
\$145 Public Agency Fee, \$290 Standard Fee  
Instructor: John Harvey and Ali Butt

### **Asphalt Concrete Materials & Mix Design (CCC-01)**

February 27 - March 2, 2023 :: M, Tu, W and Th from 2:00 PM to 4:00 PM :: Online  
\$190 Public Agency Fee, \$380 Standard Fee  
Instructor: Brandon Milar

### **Pavement Construction Specifications and Quality Assurance (CCC-03)**

March 13-21, 2023 :: M, Tu and W from 9:00 AM to 11:30 AM :: Online  
\$240 Public Agency Fee, \$480 Standard Fee  
Instructor: Erik Updyke, Nathan Forrest, and Clay Slocum

### **Introduction to Pavement Engineering and Management (CCA-01)**

May 1-10, 2023 :: M and W from 2:00 PM to 4:30 PM :: Online  
\$190 Public Agency Fee, \$380 Standard Fee  
Instructor: John Harvey

# U.C. Berkeley Tech Transfer

- **Transportation Engineering**
- **California MUTCD Overview and Updates (TE-62) FULL**  
January 23-26, 2023 :: M, Tu, W and Th from 2:00 PM to 4:00 PM :: Online  
\$190 Public Agency Fee, \$380 Standard Fee  
Instructors: Obaid Khan and Crystal Killian
- **Traffic Control for Safer Work Zones**

Keep crews and public safe while perform road construction and maintenance.

Compliant with the CAMUTCD, Cal-OSHA sections 1598 & 1599, and California Vehicle Code Section 21400.

Request class by email:

[workzones@techtransfer.Berkeley.edu](mailto:workzones@techtransfer.Berkeley.edu)

# U.C. Berkeley Safe TREC

- Community Pedestrian & Bicycle Safety Training

UC Berkeley SafeTREC and [California Walks](https://calwalks.org) announce applications are now being accepted for our **2023**. (Calwalks) <https://calwalks.org>

[Community Pedestrian and Bicycle Safety Training \(CPBST\)](#)

[Comunidades Activas y Seguras \(CAyS\)](#)

<https://safetrec.Berkeley.edu/programs/cpbst>

# Safe Route National Partnership

- Safe Routes to School

<https://www.saferoutespartnership.org/safe-routes-school>

- Expert Help

<https://www.saferoutespartnership.org/expert-help>

- Healthy Communities

<https://www.saferoutespartnership.org/healthy-communities>

- Resources

<https://www.saferoutespartnership.org/resources>

# LTAP New upcoming training website

Registration for Upcoming Training <https://ca-ctap.org>

- January 11-12 Project Development: Design to Construction
- January 18-19 Federal Rules for Contract Administration & Project Completion
- February 6-9 Resident Engineers Academy
- February 8-9 Project Development: Design to Construction
- February 15-16 Federal Rules for Contract Administration & Project Completion
- February 21-22 Getting your Federal Aid Started
- February 28 Infectious Diseases in Roadway Construction

# LTAP Upcoming Training

- March 8 Local Assistance Day
- March 13-16 Resident Engineers Academy
- March 15-16 Project Development: Design to Construction
- April 4-5 Getting your Federal Aid started
- April 20-21 Federal Rules for Contract Administration & Project Completion
- June 7 Local Assistance Day

# Local Assistance Blog

- FHWA Value Capture Strategies Webinar Series
- FHWA Notice of Funding Opportunity RAISE Grant
- FHWA Introduction to NEPA and Transportation Decision Making
- FHWA Launches Geosynthetic Installation Notes Tracker Mobile Application
- FHWA Every Day Counts Round for Accelerate Innovation in Transportation Industry
- New Webinar Series Division of Local Assistance



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# Local Highway Safety Improvement Program Delivery Requirements and Cycle 11 Results

For Caltrans/Metro Delivery Workshops

LA, January 2023

Dale Benson  
D07 HSIP Coordinator  
&  
Chiu Liu, HSIP Manager  
Office of Federal Programs  
Division of Local Assistance



# Highway Safety Improvement Program (HSIP)

The purpose of the HSIP program is “to achieve a significant reduction in traffic fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on tribal land.” (23 USC § 148 (b)(2))

## Implements the State Strategic Highway Safety Plan

1. A mind Set of Prioritizing Road Safety
2. Prioritizing the elimination of fatal and serious injury crashes following the Safe-System Approach, as defined in section 1.2 of the Local Roadway Safety Manual (which is available on the HSIP section of the Local Assistance website), which should be incorporated into the update of existing LRSPs if it isn't included.
3. Emphasis on the importance of vulnerable road user (i.e. non-motorist) safety following the Safe System Approach (SB 932)
4. Biden's EO 13985/Sate SHSP (Equity Issue should be addressed when revising an LRSP and actions may be incorporated into some ongoing projects if possible)



# Local HSIP Funding Amount

Local HSIP apportionments: approx. \$117 million per year via the IJA bill

Project selection:

1. Benefit Cost Ratio (BCR)
2. Funding set-asides (5 for Cycle 11)

## Eligible Applicants

Any local public agency that owns, operates, and maintains the public roadways

- City and County agencies
- Tribal Governments



# HSIP Cycle 11 Call-for-Projects

## Applications received and project selection

All Districts	Applications	Funds (\$M)
Total	434 (206 LPAs)	\$521.1
Funded	282 (155 LPAs)	\$225.6
Funded/Total	65.0% (75.2%)	43.3%

D07	Applications	Funds (\$M)
Total	85 (36 LPAs)	\$142.9
Funded	53 (28 LPAs)	\$55.8
Funded/Total	65.4% (77.8%)	39.0%

No Agency in D07 to be awarded the C-11 funding is currently red-flagged

Cycle 11 D07 Projects will be state-funded because none belong to HRRR



## Delivery Requirements for Cycle 11 Projects

1. PE-RFA due Oct 2023 (1-time extension allowed for PE-RFA)
2. Con-RFA due Jan 2025 (1\_time extension allowed for the Con-RFA)
3. For any proposed scope changes, please contact the District HSIP liaison for guidance.
4. For any additional extension request, the LPA must set up a WebEx meeting with Dale and an HSIP Manager, we will discuss the request in detail; the agency may be required to present the request to the local HSIP Advisory Committee at a meeting
5. For a one-time RFA extension, the agency must download the request form on the page associated with the following link

<https://dot.ca.gov/programs/local-assistance/fed-and-state-programs/highway-safety-improvement-program/delivery-requirements-status-approved-projects>



Cycle 12 HSIP Call for Projects is anticipated for May 2024. Please check the Caltrans Local Assistance website for updates.

It is advised that the local agency representative responsible for preparing the HSIP application be thoroughly familiar with the Local Roadway Safety Manual and its updates.

# Thank You

Please save [Communityrelations@metro.net](mailto:Communityrelations@metro.net) to your safe sender's list.

